

## UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: <b>Winston Donald Keech</b>	Docket No.: <b>46354.010500</b>
Serial No.: <b>UNASSIGNED</b>	Art Unit:
Filing Date: <b>November 28, 2001</b>	Examiner:
Title <b>Secure File Transfer Method and System</b>	

## DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

## DECLARATION OF SOLE OR JOINT INVENTOR

As a below-named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention (Design, if applicable) entitled above, the specification of which is either attached hereto, or was filed on the date listed above as with the Application Serial Number listed above (whichever is applicable).

## REVIEW AND UNDERSTOOD CLAUSE

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s). I acknowledge the duty to disclose information which is material to the examination of this application in accordance with *Title 37, Code of Federal Regulations, §1.56(a)*.

## DOMESTIC PRIORITY CLAIM

I hereby claim the benefit under *Title 35, United States Code, § 120* of any United States application(s) or PCT International Application(s) designating The United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of *Title 35, United States Code § 112*, I acknowledge the duty to disclose material information as defined in *Title 37, Code of Federal Regulations, § 1.56(a)* which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

APPLICATION NUMBER	FILING DATE	STATUS (Patented, Pending, Abandoned)

**DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY****FOREIGN PRIORITY CLAIM**

I hereby claim foreign priority benefits under *Title 35, United States Code § 119* of any foreign application(s) for patent or inventor's certificate or of any PCT International Application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or of any PCT International application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) on which the priority is claimed.

APPLICATION NUMBER	FILING DATE	COUNTRY
0028935.5	November 28, 2000	Great Britain

**WILLFUL FALSE STATEMENT CLAUSE**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine, or imprisonment, or both, under *Section 1001 Title 18 of the United States Code*, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**CORRESPONDENCE ADDRESS**

Please send all correspondence with regard to the above-captioned application to:

Paul L. Ratcliffe  
GREENBERG TRAUIG  
1750 TYSONS BLVD, 12<sup>TH</sup> FLOOR  
MCLEAN, VIRGINIA 22102

CUSTOMER NUMBER: 22191


Direct all phone inquiries to: 703-749-1384

**POWER OF ATTORNEY**

I (We) hereby appoint as my (our) attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith the following registered Patent Attorneys:

NAME	REG. NO.	NAME	REG. NO.
Barry Magidoff	21,064	Brad S. Needleman	40,416
Albert L. Jacobs, Jr.	22,211	George S. Bardmesser	44,020
Paul J. Sutton	24,201	Paul L. Ratcliffe	45,290
Gerald F. Diebner	31,345	Elizabeth S. Lapsdula	46,001
Paul F. McQuade	31,542	David Heath	46,763
Mark A. Wurm	31,682	Beverly Lubit	47,759
Eugene C. Rzucidlo	31,900		
Joseph M. Manak	33,013		
Mark A. Farley	33,170		
Anthony Barkume	33,831		
Richard E. Kurtz	33,936		
Adam B. Landa	35,236		

**FIRST OR SOLE INVENTOR INFORMATION**

<u>Full Name</u>	<u>Citizenship</u>
Winston Donald Keech	United Kingdom
<u>Residence Address</u>	<u>City</u>
Bleach Garth, Little Beck	Whitby, North Yorkshire
<u>State</u>	<u>Zip Code</u>
Great Britain	YO22 5EZ
<u>Country</u>	
UNITED STATES	
<u>Date</u>	<u>Signature</u>
29/11/2001	

**SECOND INVENTOR INFORMATION**

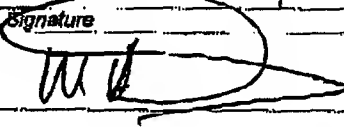
<u>Full Name</u>	<u>Citizenship</u>
<u>Residence Address</u>	<u>City</u>
<u>State</u>	<u>Zip Code</u>
<u>Country</u>	
UNITED STATES	
<u>Date</u>	<u>Signature</u>

## ASSIGNMENT

Application of: <b>Winston Donald Keech</b>	Docket No.: <b>46354.010500</b>
Serial Number: <b>UNASSIGNED</b>	Art Unit:
Filing Date: <b>November 28, 2001</b>	Examiner:
<b>Title: Secure File Transfer Method and System</b>	
<p>WHEREAS, WINSTON DONALD KEECH, whose post office addresses appear below (hereinafter referred to as ASSIGNOR), has invented certain new and useful improvements in <b>Secure File Transfer Method and System</b> (hereinafter referred to as THE INVENTION) for which the above-captioned Application for United States Letters Patent, filed herewith;</p>	
<p>WHEREAS, SWIVEL TECHNOLOGIES LIMITED, whose post office address is 2 Butter Lane, Knaresborough HG5 8AL, North Yorkshire, England (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title and interest in and to the same in the United States and throughout the world;</p>	
<p>NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I, ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention and Patent Application throughout the United States of America, including any and all United States Letters Patent granted on any U.S. Patent Application, and any division, continuation, continuation-in-part and reissue of said U.S. Patent Application; and the entire right, title and interest in and to said invention throughout the world, including the right to apply for patents and inventor certificates in respect thereof and to claim priority pursuant to rights accorded ASSIGNOR under the terms of the Paris International Convention and all other available international conventions and treaties; and the entire right, title and interest in and to any and all patents, patents of addition, utility models, patents of importation, revalidation patents and inventor certificates which may be granted throughout the world in respect of said invention.</p>	
<p>ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) or inventor certificate(s) in the United States and in foreign countries for said invention, including additional documents that may be required to affirm the rights of ASSIGNEE in and to said invention, all without further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE's expense, to identify and communicate to ASSIGNEE at ASSIGNEE's request documents and information concerning the invention that are within ASSIGNOR's possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR's obligations under this instrument shall extend to ASSIGNOR's heirs, executors, administrators and other legal representatives. If no serial number is listed above for the captioned patent application, the Assignor hereby authorizes the firm of Greenberg Traurig to insert into this Assignment the Serial Number or other information which may be necessary to identify the patent application or patents to which this Assignment relates.</p>	
<p>ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent referred to above to ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE's sole use and behoof; and for the use and behoof of ASSIGNEE's legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.</p>	

0094919-11301

## ASSIGNMENT

Application of: <b>Winston Donald Keech</b>		Docket No.: <b>46354.010500</b>	
Serial Number: <b>UNASSIGNED</b>		Art Unit:	
Filing Date: <b>November 28, 2001</b>		Examiner:	
Title: <b>Secure File Transfer Method and System</b>			
Full Name of First or Sole Inventor		Citizenship	
<b>Winston Donald Keech</b>		<b>United Kingdom</b>	
Residence Address - Street		Post Office Address - Street	
<b>Bleach Garth, Little Beck</b>			
City		City	
<b>Whitby, North Yorkshire</b>			
State or Country	Zip Code	State or Country	Zip Code
<b>England</b>	<b>YO22 5EZ</b>		
Date:		Signature	
<b>28/11/2001</b>			
(Notarization preferred but not required)			
Before me personally appeared said _____ and acknowledges this instrument to be his (her) free act and deed this ____ day of _____, 2001.			
_____ Notary Public			
Full Name of Second Inventor		Citizenship	
Residence Address - Street		Post Office Address - Street	
City		City	
State or Country	Zip Code	State or Country	Zip Code
Date:		Signature	
(Notarization preferred but not required)			
Before me personally appeared said _____ and acknowledges this instrument to be his (her) free act and deed this ____ day of _____, 2001.			
_____ Notary Public			